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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| Check this box if no longer subject to |
|--|
| Section 16. Form 4 or Form 5 |
| obligations may continue. See |
| Instruction 1(b). |

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

| OMB APPRC | VAL |
|-------------------------|-----------|
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| | | | or Section 30(h) of the Investment Company Act of 1940 | |
|--|---|----------------|---|---|
| 1. Name and Address of Reporting Person [*] $\underline{\mathrm{HOU}\ \mathrm{HONG}\ \mathrm{Q}}$ | | | 2. Issuer Name and Ticker or Trading Symbol <u>EMCORE CORP</u> [EMKR] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner |
| (Last) 10420 RESEA | (First) (Middle)) RESEARCH ROAD, SE | | 3. Date of Earliest Transaction (Month/Day/Year) 11/22/2013 | X Officer (give title Other (specify below) CEO & President |
| (Street) ALBUQUER((City) | QUE NM (State) | 87123 (Zip) | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transa Code (8) | | | | | 5. Amount of Securities Beneficially Owned Following | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership |
|---------------------------------|--|---|------------------------------|---|--------|---------------|--------|---|---|---|
| | | | Code | v | Amount | (A) or (D) | Price | Reported Transaction(s) (Instr. 3 and 4) | | (Instr. 4) |
| Common Stock | 11/22/2013 | | A | | 405 | Α | \$4.94 | 84,869 | D | |
| Common Stock | | | | | | | | 9,503 | Ι | By 401(k) Plan |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | of Expiration Date Derivative (Month/Day/Year) Securities | | Amount of Der Securities Sec Underlying (Ins | | 8. Price of Derivative Security (Instr. 5) | | 10. Ownership Form: Direct (D) | 11. Nature of Indirect Beneficial Ownership | | |
|---|---|--|---|------------------------------|---|--|-----|--|--------------------|---|--|---|--|-------------------------------|------------|
| | Derivative Security | | | | | Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | | Derivative Security (Instr. 3 and 4) | | | Owned Following Reported Transaction(s) (Instr. 4) | or Indirect (I) (Instr. 4) | (Instr. 4) |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |

Explanation of Responses:

Remarks:

/s/ Alfredo Gomez, attorney-in-11/25/2013

fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.