| SEC Form 4 |  |
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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| Check this box if no longer subject to |
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| Section 16. Form 4 or Form 5           |
| obligations may continue. See          |
| Instruction 1(b).                      |

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| OWR APPRC                | VAL       |  |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|--|
| OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |
| Estimated average burden |           |  |  |  |  |  |  |  |
| hours per response:      | 0.5       |  |  |  |  |  |  |  |

| 1. Name and Address of Reporting Person* |    | 2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>EMCORE CORP</u> [ EMKR ] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) |                                     |                       |  |  |
|--|----|--|---|-------------------------------------|-----------------------|--|--|
| HOU HONG Q                               |    |  | X   | Director                            | 10% Owner             |  |  |
| (Last) (First) (Middl                    |    | 3. Date of Earliest Transaction (Month/Day/Year)                               | X   | Officer (give title below)          | Other (specify below) |  |  |
| 10420 RESEARCH ROAD, SE                  |    | 06/21/2013   |   | CEO & President                     |                       |  |  |
| (Street)                                 |    | 4. If Amendment, Date of Original Filed (Month/Day/Year)                       | 6. Indiv<br>Line)   | idual or Joint/Group Filing ((      | Check Applicable      |  |  |
| ALBUQUERQUE NM 8712                      | 23 |  | X   | Form filed by One Report            | ing Person            |  |  |
| (Oite) (Oteste) (7ie)                    |    |  |   | Form filed by More than C<br>Person | One Reporting         |  |  |
| (City) (State) (Zip)                     |    |  |   |                                     |                       |  |  |

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | Transaction<br>Code (Instr. |   |                    |               |        | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------|--|---|-----------------------------|---|--------------------|---------------|--------|---|---|---|
|                                 |  |   | Code                        | v | Amount             | (A) or<br>(D) | Price  | Transaction(s)<br>(Instr. 3 and 4)  |   | (1130.4)  |
| Common Stock                    | 06/21/2013                                 |   | A                           |   | 529 <sup>(1)</sup> | A             | \$3.78 | 76,513 <sup>(2)</sup>   | D   |   |
| Common Stock                    |  |   |                             |   |                    |               |        | 8,460 <sup>(3)</sup>  | Ι   | By<br>401(k)<br>Plan  |

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

|   |   |  |   |                              |   |      |     | -  |  |   | -   |  |           |  |  |
|---|---|--|---|------------------------------|---|------|-----|--|--|---|---|--|-----------|--|--|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of E |     | 6. Date Exerc<br>Expiration Da<br>(Month/Day/Y | 7. Title<br>Amouri<br>Securi<br>Underl<br>Deriva<br>Securi<br>and 4) | nt of<br>ties<br>ying<br>tive<br>ty (Instr. 3 | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | Ownership | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|   |   |  |   | Code                         | v | (A)  | (D) | Date<br>Exercisable                            | Expiration<br>Date   | Title   | Amount<br>or<br>Number<br>of<br>Shares              |  |           |  |  |

Explanation of Responses:

1. 1. Shares were purchased under the Company's Officer and Director Share Purchase Plan in a transaction exempt from Section 16b pursuant to Rule 16b-3(d).

2. Includes 12,500 shares of restricted stock granted under the Company's 2010 Equity Incentive Plan, vesting on January 28, 2014.

3. Shares attributable to EMCORE Corporation 401(k) account as of March 31, 2013.

**Remarks:** 

/s/ Alfredo Gomez, attorney-in-06/24/2013 fact

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.