Instruction 1(b)

#### FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, | D.C. | 20549 |
|-------------|------|-------|
|             |      |       |

| Check this box if no longer subject to | STATEMENT OF |
|--|--------------|
| Section 16. Form 4 or Form 5           |              |
| obligations may continue. See          |              |

# CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: Estimated average burden 0.5 hours per response:

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person*     Van Berkel Monica |   |  |          |                                 |                              | 2. Issuer Name and Ticker or Trading Symbol  EMCORE CORP [ EMKR ] |     |          |                                |               |   |  |                                      |                                    | all appli<br>Directo  | cable)   | g Per   | son(s) to Iss<br>10% Ov<br>Other (s                                      | vner   |  |
|---|---|--|----------|---------------------------------|------------------------------|---|-----|----------|--------------------------------|---------------|---|--|--------------------------------------|------------------------------------|---|--|---|--|--|--|
| (Last)<br>10420 R   | ,   | rst) ROAD, SE                              | (Middle) |                                 |                              | oate of<br>22/20  |     | est Tran | nsaction (Month/Day/Year)      |               |   |  |                                      |                                    | below)  |  | below)<br>nin. Officer  |  |  |  |
| (Street) ALBUQ  | et)<br>BUQUERQUE NM 87123   |  |          |                                 |                              | 4. If Amendment, Date of Original Filed (Month/Day/Year)          |     |          |                                |               |   |  |                                      |                                    | 6. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person  Form filed by More than One Reporting Person |  |   |  |  |  |
| (City)  | (Si   |  | (Zip)    |                                 |                              |   |     |          |                                |               |   |  |                                      |                                    |   |  |   |  |  |  |
|   |   | Tab  | le I - N |                                 |                              | _   |     |          | quire                          | d, Di         | sposed o  |  |                                      | ally                               | Owned   | t  |   |  |  |  |
| Da  |   |  |          | 2. Transad<br>Date<br>(Month/Da |                              | Execution Date,   |     |          | Code (Instr.                   |               | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 a |  |                                      | and 5) Securit<br>Benefic<br>Owned |   | es<br>ially<br>Following   | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) |  | 7. Nature of Indirect Beneficial Ownership (Instr. 4)              |  |
|   |   |  |          |                                 |                              |   |     | v        | Amount                         | (A) or<br>(D) | Price   | Reported Transaction(s) (Instr. 3 and 4)   |                                      | ction(s)                           |   |  | (instr. 4)  |  |  |  |
| Restricted  | l Stock Uni   | ts   |          | 08/22/                          | 2012                         |   |     |          | M                              |               | 3,750   | A  | (1)                                  |                                    | 23,123 <sup>(2)</sup> D   |  |   |  |  |  |
| Common  | Stock   |  |          | 08/23/                          | 2012                         |   |     |          | S <sup>(3)</sup>               |               | 1,424   | D  | \$4.971                              | 18(4)                              | 21,   | 699(2)   |   |  |  |  |
| Common  | Stock   |  |          |                                 |                              |   |     |          |                                |               |   |  |                                      |                                    | 5,7   | 5,753 <sup>(5)</sup> I By 401( Plan  |   |  |  |  |
|   |   | Т  | able II  |                                 |                              |   |     |          |                                |               | posed of<br>converti  |  |                                      |                                    | wned  |  |   |  |  |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)         | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | if any   | med<br>on Date,<br>Day/Year)    | 4.<br>Transa<br>Code (<br>8) |   |     |          | 6. Date<br>Expirati<br>(Month/ | on Da         |   | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative Secu<br>(Instr. 3 and 4) |                                      | De<br>Se<br>(In                    | Price of<br>rivative<br>curity<br>str. 5)   | 9. Number<br>derivative<br>Securities<br>Beneficiall<br>Owned<br>Following<br>Reported<br>Transactio<br>(Instr. 4) | Owr<br>Forr<br>Dire<br>or Ir<br>(I) (I                            | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|   |   |  |          |                                 | Code                         | >   | (A) | (D)      | Date<br>Exercis                | able          | Expiration<br>Date  | Title  | Amoun<br>or<br>Numbe<br>of<br>Shares | r                                  |   |  |   |  |  |  |
| Restricted<br>Stock<br>Units                                | (1)   | 08/22/2012                                 |          |                                 | M                            |   |     | 3,750    | (6)                            |               | (7)   | Common<br>Stock  | 3,750                                |                                    | (8)   | 7,500  |   | D  |  |  |

## **Explanation of Responses:**

- 1. Each restricted stock unit represents a contingent right to receive one share of Emcore common stock. Restricted stock units are payable, at the election of the issuer, in cash, Emcore common stock, or a combination of the two.
- 2. Includes 10,000 shares of restricted stock granted under the Company's 2010 Equity Incentive Plan, vesting in two equal annual installments beginning on January 28, 2013.
- 3. All sales were effected pursuant to a 10b5-1 plan previously adopted by the Reporting Person to satisfy the Reporting Person's tax liability in connection with the vesting of shares of restricted stock that were granted under the Company's 2010 Equity Incentive Plan, which grant was exempt from Section 16b pursuant to Rule 16b-3(d).
- 4. Reflects aggregate reporting of multiple open market transactions. The price reported is the weighted average sale price of sales ranging from \$4.97 to \$5.04 per share. The reporting person hereby undertakes to provide, upon request by the staff of the Securities and Exchange Commission, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each price.
- 5. Shares attributable to EMCORE Corporation 401(k) account as of June 30, 2012.
- 6. 3,750 restricted stock units vesting on August 22, 2012
- 7. Remaining unvested restricted stock units vestin two equal annual installments commencing on August 22, 2013. Not later than 30 days after vesting occurs, vested shares of Emcore common stock, an amount in cash equal to their fair market value or a combination of both will be delivered to the reporting person.
- 8. The restricted stock units were awarded to the reporting person for no cash or other similar consideration.

# Remarks:

/s/ Alfredo Gomez, attorney-in-08/24/2012

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.