SEC Form 4	
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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPF	ROVAL
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	hours per response:	0.5
1		

1. Name and Address of Reporting Perso Van Berkel Monica	on*	2. Issuer Name and Ticker or Trading Symbol <u>EMCORE CORP</u> [EMKR]		tionship of Reporting Pers all applicable) Director	10% Owner
LASD (EIRST) (MIDDIE) I		3. Date of Earliest Transaction (Month/Day/Year) 12/06/2014	X	Officer (give title below) Chief Admin. C	Other (specify below) Officer
(Street) ALBUQUERQUE NM (City) (State)	87123 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Filing Form filed by One Repo Form filed by More than Person	orting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		Disposed Of (D) (Instr. 3, 4 and			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	12/06/2014		М		2,256	Α	(1)	29,429	D	
Common Stock	12/08/2014		S ⁽²⁾		856	D	\$ <mark>5.</mark> 1	28,573	D	
Common Stock	12/08/2014		S ⁽²⁾		5	D	\$5.13	28,568	D	
Common Stock								10,200 ⁽³⁾	Ι	By 401(k) Plan

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1			(0.9.,	,	•••••	,		,	•••••												
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8)		of		 of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4) 		Expiration Da	Expiration Date A Month/Day/Year) S U		Expiration Date (Month/Day/Year)		6. Date Exercisable and Expiration Date (Month/Day/Year)		f	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares										
Restricted Stock Units	(4)	12/06/2014		М			2,256	(5)	(6)	Common Stock	2,256	(7)	0	D							

Explanation of Responses:

1. Each restricted stock unit represented a contingent right to receive one share of Emcore common stock. Restricted stock units were payable, at the election of the Issuer, in cash, Emcore common stock, or a combination of the two. On December 6, 2014, the Issuer settled the restricted stock units in common stock.

2. All sales were effected pursuant to a 10b5-1 plan previously adopted by the Reporting Person to satisfy the Reporting Person's tax liability in connection with the vesting of restricted stock units that were granted under the Company's 2010 Equity Incentive Plan, which grant was exempt from Section 16b pursuant to Rule 16b-3(d).

3. Shares attributable to EMCORE Corporation 401(k) account as of September 30, 2014.

4. Each restricted stock unit represents a contingent right to receive one share of Emcore common stock. Restricted stock units are payable, at the election of the Issuer, in cash, Emcore common stock, or a combination of the two.

5. 2,256 restricted stock units vested on December 6, 2014.

6. All shares in this grant have vested.

7. The restricted stock units were awarded to the Reporting Person for no cash or other similar consideration.

Remarks:

/s/ Alfredo Gomez, attorney-in-12/09/2014

12/03/20

** Signature of Reporting Person Date

<u>fact</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.