# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

#### **SCHEDULE 13D**

(Rule 13d-101)

INFORMATION TO BE INCLUDED IN STATEMENTS FILED PURSUANT TO § 240.13d-1(a) AND AMENDMENTS THERETO FILED PURSUANT TO § 240.13d-2(a)

(Amendment No. 4)1

EMCORE Corporation (Name of Issuer)

<u>Common Stock, no par value</u> (Title of Class of Securities)

> 290846203 (CUSIP Number)

BRADLEY L. RADOFF 2727 Kirby Drive Unit 29L Houston, Texas 77098

RYAN NEBEL
OLSHAN FROME WOLOSKY LLP
1325 Avenue of the Americas
New York, New York 10019
(212) 451-2300

(Name, Address and Telephone Number of Person Authorized to Receive Notices and Communications)

March 6, 2024 (Date of Event Which Requires Filing of This Statement)

If the filing person has previously filed a statement on Schedule 13G to report the acquisition that is the subject of this Schedule 13D, and is filing this schedule because of §§ 240.13d-1(e), 240.13d-1(f) or 240.13d-1(g), check the following box  $\Box$ .

*Note:* Schedules filed in paper format shall include a signed original and five copies of the schedule, including all exhibits. *See* § 240.13d-7 for other parties to whom copies are to be sent.

The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, *see* the *Notes*).

1	NAME OF REPORTING PERSON						
		nily Foundation					
2	CHECK THE APPRO	OPRIATE BOX IF A MEMBER OF A GROUP	(a) □				
			(b) 🗆				
3	GECTIGE ONLY						
3	SEC USE ONLY						
4	SOURCE OF FUNDS						
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	WC						
5	CHECK BOX IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEM 2(d) OR						
	2(e)						
6	CITIZENSHIP OR PLACE OF ORGANIZATION						
	TEXAG						
NILIMBED OF	TEXAS 7	COLE VOTING BOWER					
NUMBER OF SHARES	/	SOLE VOTING POWER					
BENEFICIALLY		435,143					
OWNED BY	8	SHARED VOTING POWER					
EACH	O O	SIMILED VOTINGTOWER					
REPORTING		- 0 -					
PERSON WITH	9	SOLE DISPOSITIVE POWER					
		435,143					
	10	SHARED DISPOSITIVE POWER					
11	A CORECATE AND	- 0 -					
11	AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON						
	435,143						
12	CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES						
12	CHECK BOX II TH	E NOOKEONTE AMOUNT IN KOW (11) EXCEODES CERTAIN SITURES	ш				
13	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)						
	Less than 1%						
14	TYPE OF REPORTING PERSON						
	CO						

1	NAME OF REPORTING PERSON					
	Bradley L. Radoff					
2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP  (a)   (a)					
	(a) □ (b) □					
3	SEC USE ONLY					
4	SOURCE OF FUNDS					
	PF, AF	ACT OCTUDE OF LEGAL PROCEEDINGS IS REQUIRED BURGLANT TO ITEM AVIA OR				
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	2(e)					
6	CITIZENSHIP OR PLACE OF ORGANIZATION					
	LICA					
NUMBER OF	USA 7	SOLE VOTING POWER				
SHARES	/	SOLE VOTINGTOWER				
BENEFICIALLY		3,855,660				
OWNED BY	8	SHARED VOTING POWER				
EACH						
REPORTING PERSON WITH	9	- 0 - SOLE DISPOSITIVE POWER				
reason with	9	SOLE DISPOSITIVE POWER				
		3,855,660				
	10	SHARED DISPOSITIVE POWER	-			
11	ACCDECATE AMO	-0-				
11	AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON					
	3,855,660					
12	CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES □					
13	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)					
13	TERCENT OF CLASS REFRESENTED DT AMOUNT IN ROW (II)					
	4.99%					
14	TYPE OF REPORTING PERSON					
	IN					
	I IIN					

The following constitutes Amendment No. 4 to the Schedule 13D filed by the undersigned ("Amendment No. 4"). This Amendment No. 4 amends the Schedule 13D as specifically set forth herein.

#### Item 3. Source and Amount of Funds or Other Consideration.

Item 3 is hereby amended and restated to read as follows:

The Shares purchased by the Radoff Foundation were purchased with working capital (which may, at any given time, include margin loans made by brokerage firms in the ordinary course of business). The aggregate purchase price of the 435,143 Shares directly owned by the Radoff Foundation is approximately \$413,915, including brokerage commissions.

The Shares directly owned by Mr. Radoff were purchased with personal funds (which may, at any given time, include margin loans made by brokerage firms in the ordinary course of business). The aggregate purchase price of the 3,420,517 Shares directly owned by Mr. Radoff is approximately \$1,719,963, including brokerage commissions.

#### Item 5. <u>Interest in Securities of the Issuer.</u>

Items 5(a) - (c) and (e) are hereby amended and restated to read as follows:

The aggregate percentage of Shares reported owned by each person named herein is based upon 77,320,280 Shares outstanding as of February 2, 2024, which is the total number of Shares outstanding as reported in the Issuer's Quarterly Report on Form 10-Q filed with the Securities and Exchange Commission on February 12, 2024.

#### A. The Radoff Foundation

(a) As of the date hereof, the Radoff Foundation beneficially owns directly 435,143 Shares.

Percentage: Less than 1%

- (b) 1. Sole power to vote or direct vote: 435,143
  - 2. Shared power to vote or direct vote: 0
  - 3. Sole power to dispose or direct the disposition: 435,143
  - 4. Shared power to dispose or direct the disposition: 0

#### B. Mr. Radoff

(a) As of the date hereof, Mr. Radoff beneficially owns directly 3,420,517 Shares. As a director of the Radoff Foundation, Mr. Radoff may be deemed to beneficially own the 435,143 Shares owned by the Radoff Foundation.

Percentage: Approximately 4.99%

- (b) 1. Sole power to vote or direct vote: 3,855,660
  - 2. Shared power to vote or direct vote: 0
  - 3. Sole power to dispose or direct the disposition: 3,855,660
  - 4. Shared power to dispose or direct the disposition: 0

- (c) Schedule B annexed hereto lists all transactions in securities of the Issuer by the Reporting Persons since the filing of Amendment No. 3 to the Schedule 13D. All of such transactions were effected in the open market unless otherwise noted therein.
- (e) As of March 7, 2024, the Reporting Persons ceased to beneficially own more than 5% of the outstanding Shares.

## **SIGNATURES**

After reasonable inquiry and to the best of his knowledge and belief, each of the undersigned certifies that the information set forth in this statement is true, complete and correct.

Dated: March 8, 2024

The Radoff Family Foundation

By: /s/ Bradley L. Radoff

Name: Bradley L. Radoff

Title: Director

/s/ Bradley L. Radoff

Bradley L. Radoff

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# SCHEDULE B

## <u>Transactions in the Securities of the Issuer Since the Filing of Amendment No. 3 to the Schedule 13D</u>

Nature of the Transaction	Date of Purchase/Sale								
Nature of the Transaction	Purchased/(Sold)	<u>Price (\$)</u>	1 tirchase/Sale						
BRADLEY L. RADOFF									
Sale of Common Stock	(368,180)	0.4184	02/09/2024						
Sale of Common Stock	(381,820)	0.4013	02/12/2024						
Sale of Common Stock	(109,398)	0.4237	03/06/2024						
Sale of Common Stock	(46,308)	0.4116	03/07/2024						