FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

75201

(Zip)

**DALLAS** 

(City)

TX

(State)

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

**OMB APPROVAL** OMB Number: Estimated average burden er response: 0.5

7. Nature of Indirect Beneficial Ownership (Instr. 4)

Footnotes(1)(4)(5)

 $Footnotes^{(2)(4)(5)}$ 

 $Footnotes^{(3)(4)(5)}$ 

11. Nature of Indirect Beneficial Ownership (Instr. 4)

| Section obligat                                      | this box if no lon 16. Form 4 or ions may continution 1(b).           |  | STATEME<br>Fil                                     | ed pur      | suant to  | Section  | 16(a) o            | f the S         | ecurities Exclusion Company        | hange     | Act o  |  | RSHIP  |   | OMB Nun<br>Estimated<br>hours per      | averaç   |                 | 3235-028<br>en<br>0  |
|--|---|--|--|-------------|---|--|--------------------|-----------------|------------------------------------|-----------|--|--|--|---|--|--|-----------------|--|
| 1  |   | Reporting Person*  Management              | , <u>L.P.</u>                                      | 2.          | Issuer N  |  | <b>d</b> Ticker    | or Tra          | ding Symbol                        |           | 1040   |  | 5. Relationsh<br>(Check all ap<br>X Dire                 | plicabl                                       |  | ,  | s) to Is        |  |
| (Last) (First) (Middle) 500 CRESCENT COURT SUITE 230 |   |  | Middle)  |             | 3. Date of Earliest Transaction (Month/Day/Year) 12/06/2013 |  |                    |                 |                                    |           |  |  | belo   |   |  | below)   |                 |  |
| (Street) DALLA                                       | S T   | K  | 75201  | 4.          | If Amer   | idment, C  | ate of 0           | Origina         | l Filed (Montl                     | n/Day/    | Year)  |  |  | n filed<br>n filed                            | t/Group Fil<br>by One Ro<br>by More th | eportin  | g Pers          | on   |
| (City)   | (Si   |  | Zip)   |             |   |  |                    |                 |                                    |           |  |  |  |   |  |  |                 |  |
| 1 Title of   | Security (Inst  |  | 2. Transaction                                     | _           | e Sec   |  | Acqu               | iired,          | 4. Securities                      |           |  |  | 5. Amount o  |   | 6. Owners                              | ohin   | 7. Natu         | uro of   |
| 1. Title of  | security (ms  | ii. 3)                                     | Date<br>(Month/Day/Y                               | ear) i      | Execution   |  |                    | ·               | Disposed O<br>5)                   | f (D) (lı | nstr. 3  | 8, 4 and                               | Securities Beneficially Owned Follo Reported Transaction | owing   | Form: Dir<br>(D) or Ind<br>(I) (Instr. | rect   | Indirec         | t Benefic<br>ship (Inst                                    |
|  |   |  |  | _           |   |  | Code               | V               | Amount                             | (A) (D)   | P  | rice                                   | (Instr. 3 and  |   |  |  |                 |  |
| Common   | Stock   |  | 12/06/201  | 13          |   |  | P                  |                 | 59,687                             | A         | . \$   | 34.7741                                | 2,077,8  | 49  | I                                      |  | See<br>Footn    | otes(1)(4)   |
| Common   | Stock   |  | 12/06/201  | 13          |   |  | P                  |                 | 8,139                              | A         | . \$   | 64.7741                                | 292,74   | 2   | I                                      |  | See<br>Footn    | otes <sup>(2)(4</sup>                                      |
| Common   | Stock   |  | 12/06/201  | 13          |   |  | P                  |                 | 38,282                             | A         | . \$   | 64.7741                                | 826,51   | .4  | I                                      |  | See<br>Footn    | otes <sup>(3)(4</sup>                                      |
|  |   | Ta   | able II - Deriva<br>(e.g., p                       |             |   |  |                    |                 | risposed (<br>is, convei           |           |  |  |  |   |  |  |                 |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)  | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4.<br>Trans | saction<br>(Instr.  | 5. Num<br>of<br>Derivat<br>Securit<br>Acquire<br>(A) or<br>Dispos<br>of (D)<br>(Instr. 3<br>and 5) | ber 6. E: (Nies ed | Date Expiration | Exercisable aron Date<br>Day/Year) | nd 7      | . Title<br>Amour<br>Securit<br>Jnderl<br>Derivat | and<br>nt of<br>ties<br>lying          | 8. Price of<br>Derivative<br>Security<br>(Instr. 5)      | deriv<br>Secu<br>Bene<br>Own<br>Follo<br>Repo | owing<br>orted<br>saction(s)           | 10.<br>Owne<br>Form<br>Direc<br>or Inc<br>(I) (In: | t (D)<br>lirect | 11. Natu<br>of Indire<br>Benefici<br>Ownersh<br>(Instr. 4) |
|  |   |  |  | Code        | · V   | (A) (  |                    | ate<br>xercisa  | Expirati                           |           | itle   | Amount<br>or<br>Number<br>of<br>Shares | 1  |   |  |  |                 |  |
|  |   | Reporting Person*  Management              | , <u>L.P.</u>                                      |             |   |  | •                  |                 | ·                                  | -         |  | ,                                      |  |   |  | •  |                 |  |
| (Last) 500 CRE SUITE 2                               | ESCENT CO   | (First)                                    | (Middle)   |             |   |  |                    |                 |                                    |           |  |  |  |   |  |  |                 |  |
| (Street)   | S   | TX   | 75201  |             |   |  |                    |                 |                                    |           |  |  |  |   |  |  |                 |  |
| (City)   |   | (State)                                    | (Zip)  |             |   |  |                    |                 |                                    |           |  |  |  |   |  |  |                 |  |
|  |   | Reporting Person*                          | <u>ERS (QP), L</u>                                 | <u>.P.</u>  |   |  |                    |                 |                                    |           |  |  |  |   |  |  |                 |  |
| (Last) 500 CRE SUITE 2                               | ESCENT CO   | (First)<br>OURT                            | (Middle)   |             |   |  |                    |                 |                                    |           |  |  |  |   |  |  |                 |  |
| (Street)   |   |  |  |             |   |  |                    |                 |                                    |           |  |  |  |   |  |  |                 |  |

| 1. Name and Address of Reporting Person* <u>BECKER DRAPKIN PARTNERS, L.P.</u> |                     |          |  |  |  |  |  |  |  |
|---|---------------------|----------|--|--|--|--|--|--|--|
| (Last) 500 CRESCEN SUITE 230  | (First)<br>IT COURT | (Middle) |  |  |  |  |  |  |  |
| (Street) DALLAS   | TX                  | 75201    |  |  |  |  |  |  |  |
| (City)  | (State)             | (Zip)    |  |  |  |  |  |  |  |
| Name and Address of Reporting Person*     BC Advisors LLC                     |                     |          |  |  |  |  |  |  |  |
| (Last) 500 CRESCEN SUITE 230  | 500 CRESCENT COURT  |          |  |  |  |  |  |  |  |
| (Street) DALLAS   | TX                  | 75201    |  |  |  |  |  |  |  |
| (City)  | (State)             | (Zip)    |  |  |  |  |  |  |  |
| 1. Name and Address of Reporting Person*  Becker Steven R                     |                     |          |  |  |  |  |  |  |  |
| (Last) 500 CRESCEN SUITE 230  | (First) IT COURT    | (Middle) |  |  |  |  |  |  |  |
| (Street) DALLAS   | TX                  | 75201    |  |  |  |  |  |  |  |
| (City)  | (State)             | (Zip)    |  |  |  |  |  |  |  |
| Name and Address of Reporting Person*     Drapkin Matthew A                   |                     |          |  |  |  |  |  |  |  |
| (Last) 500 CRESCEN SUITE 230  | (First) IT COURT    | (Middle) |  |  |  |  |  |  |  |
| (Street) DALLAS   | TX                  | 75201    |  |  |  |  |  |  |  |

### **Explanation of Responses:**

(State)

- $1. \ Represents \ shares \ of \ common \ stock, \ no \ par \ value \ (the \ "Common \ Stock"), \ of \ EMCORE \ Corporation \ (the \ "Issuer") \ directly \ beneficially \ owned \ by \ Becker \ Drapkin \ Partners \ (QP), \ L.P. \ ("Becker \ Drapkin \ QP").$
- 2. Represents shares of Common Stock directly beneficially owned by Becker Drapkin Partners, L.P. ("Becker Drapkin, L.P.").

(Zip)

- 3. Represents shares of Common Stock directly beneficially owned by a managed account on behalf of an investment advisory client (the "Managed Account") of Becker Drapkin Management, L.P. ("BD Management")
- 4. BD Management, as the general partner of, and investment manager for, Becker Drapkin QP and Becker Drapkin, L.P. and the investment manager for the Managed Account, may be deemed to beneficially own the securities described in footnotes (1), (2) and (3). BC Advisors, LLC ("BCA"), as the general partner of BD Management, and Steven R. Becker ("Mr. Becker") and Matthew A. Drapkin ("Mr. Drapkin"), as the sole members and co-managing members of BCA and limited partners of BD Management, may in each case be deemed to be beneficial owners of the securities described in footnotes (1), (2) and (3). In addition, each reporting person may be deemed to beneficially own more than 10% of the Common Stock under Rule 16a-1(a)(1) under the Securities Exchange Act of 1934, as amended (the "Exchange Act") as a result of being deemed a member of a group under Sections 13(d)(3) and 13(d)(5) of the Exchange Act. (Continued in Footnote 5.)
- 5. BD Management disclaims any beneficial ownership of all of the Common Stock listed herein, except to the extent of any pecuniary interest therein. Becker Drapkin QP disclaims beneficial ownership of the Common Stock described in footnotes (2) and (3). Becker Drapkin, L.P. disclaims beneficial ownership of the Common Stock described in footnotes (1) and (3). BCA disclaims beneficial ownership of all of the Common Stock listed herein, except to the extent of any pecuniary interest therein. Mr. Becker (who is a director of the Issuer) and Mr. Drapkin disclaim beneficial ownership of all of the Common Stock described herein, except to the extent of any pecuniary interest therein.

## Remarks:

(City)

See Exhibit 99.1

12/10/2013

Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Exhibit 99.1 Signature Pages BECKER DRAPKIN MANAGEMENT, L.P. By: BC Advisors, LLC, its general partner Date: 12/10/2013 By: /s/ Daniel A. Grossman Name: Daniel A. Grossman Title: Attorney-in-Fact BECKER DRAPKIN PARTNERS (QP), L.P. By: Becker Drapkin Management, L.P., its general partner By: BC Advisors, LLC, its general partner Date: 12/10/2013 By: /s/ Daniel A. Grossman Name: Daniel A. Grossman Title: Attorney-in-Fact BECKER DRAPKIN PARTNERS, L.P. By: Becker Drapkin Management, L.P., its general partner By: BC Advisors, LLC, its general partner Date: 12/10/2013 By: /s/ Daniel A. Grossman Name: Daniel A. Grossman Title: Attorney-in-Fact

BC ADVISORS, LLC

Date: 12/10/2013

Date: 12/10/2013

Date: 12/10/2013

/s/ Daniel A. Grossman Name: Daniel A. Grossman Title: Attorney-in-Fact

STEVEN R. BECKER

/s/ Daniel A. Grossman Name: Daniel A. Grossman Title: Attorney-in-Fact

MATTHEW A. DRAPKIN

/s/ Daniel A. Grossman Name: Daniel A. Grossman Title: Attorney-in-Fact