SEC Form 4

FORM 4

Check this box if no longer subject

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287					
Estimated average burden						
hours per response:	0.5					

to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).	Filed	l pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940	-	Estimated av hours per re	verage burden sponse: 0.5
1. Name and Address of Reporting Person <u>DOMENIK STEPHEN L</u>	on*	2. Issuer Name and Ticker or Trading Symbol <u>EMCORE CORP</u> [EMKR]	(Check all appli X Directo	or	10% Owner
(Last) (First) C/O EMCORE CORPORATION 2015 W. CHESTNUT STREET	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 03/31/2021	below)	(give title	Other (specify below)
		4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Line)	Joint/Group Filin	g (Check Applicable
(Street) ALHAMBRA	91803				orting Person n One Reporting
(City) (State)	(Zip)				

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	03/31/2021		A		1,599	A	(1)	185,162	D	
Common Stock								10,000	Ι	By the Stephen and Christine Domenik Trust, Stephen and Christine Domenik, Trustees

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(orgi, paro, cano, manano, optiono, contentino cocantico)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)	Instr.	of Deriv Secu Acqu (A) of Dispo of (D)	r osed) r. 3, 4		nd 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The shares of common stock were issued pursuant to Issuer's Director Compensation Policy and the Reporting Person's election made on March 11, 2021 to receive a portion of his fees payable for Board service to the Issuer for the quarter ended March 31, 2021 in the form of shares of the Issuer's common stock in lieu of the corresponding cash amount, based on the Issuer's closing price of \$5.46 on March 31, 2021, the last trading day of the applicable quarter.

Remarks:

/s/	<u>' Ryan</u>	Hoc	<u>hgesang</u> ,	<u>attorney</u>	04/02/2021

in fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.